# Newsletter eu-si



Issue 07 | 2019

#### **EU-STRAT**

Ihnestr. 22 14195 Berlin http://eu-strat.eu/. eustrat@zedat.fu-berlin.de

#### **Editorial Staff:**

Kamil Całus Sarah Pfaffernoschke Elyssa Shea



#### **EU-STRAT FINAL CONFERENCE**

EU-STRAT's Final Conference in The Hague

Page 3

### **EU-STRAT FINAL CONFERENCE**

Roundtable: The future possibilities of EU-EaP cooperation and challenges for policy makers and researchers

Page 5

#### **EU-STRAT AT WORK**

Deconstructing China's foreign policy in Belarus and Ukraine

Page 8

Turkey's foreign policy towards its Post-Soviet Black Sea neighbourhood

Page 9

Strategies and approaches of international financial institutions towards Eastern Partnership countries

Page 10

Workshop in Bucharest: The present and future of secessionist conflicts

Page 11



### EDITORIAL

Dear friends and colleagues,

XXXX

Sincerely,

Tanja A. BörzelAntoaneta DimitrovaProject CoordinatorProject Co-coordinator

Poura S. Soral Phulling



### **EU-STRAT's Final Conference in The Hague**

By Matt Frear and Nina Onopriychuk (Leiden University)

EU-STRAT's co-coordinator Leiden University (LU) hosted the two-day EU-STRAT Final Conference in The Hague on 11-12 April 2019. The conference was the final major event of the three-year Horizon 2020 international research project and provided an opportunity to present the project's inside-out analysis and strategic assessment of the links between the European Union (EU) and Eastern Partnership (EaP) countries.

The conference opened with a keynote speech by Peter Wagner, Head of the Support Group for Ukraine at the European Commission. He noted that the broad scope of the Association Agreement (AA) provided opportunities for the country, but also presented challenges in implementing reforms. Despite these challenges, he argued, the EU has promoted major reforms by supporting the efforts of reform-oriented members of the Ukrainian government and played a leading role in the public administration reform. Peter Wagner proposed that finding innovative approaches where possible in applying some of the existing reform instruments and attracting the best national talents is the key to success for achieving change in Ukraine. He concluded that the EU is ready to stand by its partner countries in their ongoing reform efforts, noting that sustained and effective reform progress is key to the continued success of the EaP.



# Limited Access Orders, statehood and state capacity

Esther Ademmer (Kiel University [CAU] and Kiel Institute for the World Economy [IfW]) opened the first panel with a presentation on a typology of limited access orders (LAOs) building on North, Wallis, and Weingast's framework. It identifies four different types of LAOs, characterized by limited competition for political and economic resources and dominant elites controlling access to those resources in post-Soviet states. Cases highlighting the four types of LAOs included Belarus as an example of 'balanced closure', Armenia as an example of 'unbalanced closure', and Georgia as a case of 'unbalanced openness' until 2007, then moving towards 'balanced openness'. Honorata Mazepus (LU) and Tatsiana Chulitskaya (School of Young Managers in Public Administration [SYMPA] and European Humanities University) then presented the effects of state capacity on LAOs, using the examples of Belarus and Ukraine. They proposed that the relationship between regime stability and state capacity could be divided into two aspects: universalizing and stabilizing. The former - implying universal, impartial and impersonal procedures and rules - has the potential to support change towards a more open access order, while the latter has a stabilizing effect, for both limited and open access orders. In her comments, Iryna Solonenko (European University Viadrina and Deutsche Gesellschaft für Auswärtige Politik) suggested looking at further conceptual links between the findings, especially in terms of the role of state capacity for LAOs.

Understanding the (in)stability of domestic regimes: How domestic actor constellations are strengthened or weakened by patterns of interdependencies

The second session dealt with the (in)stability of domestic regimes. Rilka Dragneva (University

of Birmingham [UoB]) and Laure Delcour (Fondation Maison des Sciences de l'homme [FMSH]) presented how international regimes shape interdependencies. Dragneva explained that interdependencies can be sensitive to the volume of flows and costs of interruption, as well as vulnerable to costs of adjustment. How the scope, depth, bindingness and exclusivity of a regime can contribute to interdependencies was taken into account. They looked at vertical overlaps (bilateral, regional, multilateral) and horizontal overlaps (issue linkage). Dragneva and Delcour concluded that overall, legal and governance features of the established regime between Russia and members of the Eurasian Economic Union (EEU) challenge the EU's ability to support reforms. Difficulties come from the lack of predictable rule-based regimes, politicized or personalized modes of interaction and the incentives of elites, complex proprietary patterns, problematic integration in international regimes, and the securitization of interdependence.



Laure Delcour (FMSH) and Marta Jaroszewicz (Centre for Eastern Studies [OSW]) examined the role of bilateral relations between the EaP countries. Based on their research on Belarus's, Moldova's and Ukraine's policy goals vis-àvis Russia, they discussed the concepts of 'bandwagoning' by accommodating the interests of a hegemon, balancing against the dominance of a hegemon, and hedging through either empowering or engrafting. Using the example of Ukraine and Moldova, they underlined that the potential for cooperation on curtailing Russia's influence has not necessarily been realized yet, nor sought out as a strategic priority. Katharina

Hoffman (University of St. Gallen [UNISG]) and Esther Ademmer (CAU and IfW) looked at the causal mechanisms behind linkages and ways in which external regimes seek to exert influence through the domestic empowerment of actors. Drawing on cases in the EaP countries,



they showed that the degree to which either the EU or Russia can actually inflict costs (through patronage ties, sanctions, crisis support, or elite-learning) depends on the sensitivity and vulnerability of countries to these linkages. The discussant, Tetiana Kostiuchenko (National University of Kyiv Mohyla Academy), suggested the possibility of using a network paradigm in the future to further illustrate and develop these findings and to find out whether the macro level is reflected at the micro level with business networks or patronage ties in specific sectors such as energy.

Comparing the approaches and strategies of the EU to other external actors' engagement in the EaP and analysing the susceptibility of domestic actors towards external actors' approaches

Katharina Hoffmann and Ole Frahm (both UNISG) outlined their research on the external diffusion of regime-related principles by Turkey in four cases from the region: Azerbaijan, Moldova, Ukraine and Georgia. They showed that some Turkish business actors lobby for reforms or improving standards in these countries, suggesting that certain actors from hybrid regimes with regional influence may serve the EU as partners in the promotion of economic openness in third countries. Jakub Jakóbowski (OSW) then presented China's strategies towards EaP countries through the cases of Belarus and

Ukraine. His research showed that, on the one hand, China has little impact on the political and economic order in Ukraine, as it is currently limiting its engagement there following the Revolution of Dignity. On the other hand, it has facilitated moderate economic opening in Belarus, potentially contributing to a more unbalanced LAO. Marta Jaroszewicz (OSW) and Elyssa Shea (Freie Universität Berlin [FUB]) presented their analysis of the strategies of security actors towards EaP countries. Focusing on Ukraine, they looked at NATO's, the EU's, and the OSCE's security assistance to the country and concluded that the different approaches taken by these actors could facilitate the opening of the LAO, but only over time. Laurynas Jonavičius and Dovile Jakniūnaite (both Vilnius University [VU]) presented their research on the susceptibility of domestic actors towards external actors' approaches, arguing that it highly depended on interdependencies. They suggested that Russia is able to play a dominant role in Belarus's economic, energy and security affairs. The EU, however, has the potential to offer support for Belarusian sovereignty in the face of increasing Russian aggression. Recent tensions between Minsk and Moscow could thus be considered a 'barred' window of opportunity for the EU, with ongoing Russian-Belarusian interdependencies posing some constraint.



Steven Blockmans (Centre for European Policy Studies) offered comments on the session. He noted how the methodological deficiencies of North et al. were complemented by the research of the project and highlighted the great potential for further research, especially with regard to China's involvement in the area. In response to a question about the conditions under which opening can be promoted during wartime, the panellists contended that it is possible to push for reform during wartime as long as some flexibility is offered and the external actors coordinate their action.

## Effects of Limited Access Orders on science policy and scientific cooperation

The second day of the EU-STRAT conference kicked-off with a presentation on the effects of LAOs on science policy and scientific cooperation by Dimiter Toshkov (LU, European University Institute), Ina Ramasheuskaya and Natallia Rabava (both SYMPA). They showed that the science policy process in Belarus has been highly centralized and focused on commercializing science. In Belarus, emphasis has been laid on the 'hard sciences', especially since less profitable social sciences might influence the state's political ideology. By contrast, the LAOs in Ukraine and Moldova allow for more pluralism in science. Based on the similarity of some of the findings in all three countries, Elena Belokurova (German-Russian Exchange in St. Petersburg and EU-Russia Civil Society Forum), wondered whether legacies of the past were more important than the type of LAO.

### Implementing the Association Agreements

Klaudijus Maniokas (European Social, Legal and Economic Projects [ESTEP]) presented research on the legal harmonization in the EaP countries that have concluded an AA with the EU. He showed that the transposition and implementation of harmonization is patchy, but better than expected, because of an ongoing informal adjustment to the AAs that reduces the scope of the commitments. He nevertheless underlined that AA-related rules are not at the core of government policy in any of these countries. Laure Delcour (FMSH) examined the extent to which independent regulatory bodies in charge of implementing the AAs are affected by

state capture. Her research showed that contrarily to their Georgian counterparts, Moldovan and Ukrainian regulators are still insufficiently independent and competent. She suggested the EU needs to promote reform of regulatory bodies to help ensure the implementation of reforms. Focusing on Ukraine since 2008, Ildar Gazizulin (Ukrainian Institute for Public Policy [UIPP]) then discussed whether trade liberalization had helped to consolidate the position of rent-seeking elites. He showed that trade liberalization between the EU and Ukraine has had some positive effects on the agrifood sector, the ownership structure of which is more diverse than that of the other key sectors. However, he underlined that it has primarily benefited big firms owned by members of both the dominant and rival elites. Rilka Dragneva (UoB) addressed the impact of the interdependence with Russia on the implementation of the AAs. She argued that the AA countries differ in the embeddedness of their EU choice and their resilience to dependence on Russia and that the EU thus needs to examine and understand the potential geopolitical consequences of the AAs. The discussant, Wojciech Konończuk (OSW) wondered whether the main threat to the AAs was not Russia but rather the low quality of the ruling elites in the AA countries. A question was posed whether AA rules were relevant or affordable for the societies in question. The panellists suggested that some reforms may benefit the EaP countries more than harmonization with the acquis, yet the EU's overall role in reform was crucial.

# Developing EU engagement strategies in the EaP region

Kataryna Wolczuk (UoB) presented findings evaluating EU assistance for the implementation of the AAs. She noted the difference between EU support in Georgia (mainly technical assistance) and the EU's dual track approach in Moldova and Ukraine (technical assistance and institution (re-)building). She further highlighted desynchronization in the three countries: While AA implementation is moving ahead, state capacity to back it up is still lagging behind. She noted that building capacity is not only a massive



challenge for AA countries, but also for the EU itself as it would require better coordination of the AA process inside the EU. Antoaneta Dimitrova (LU) then discussed the lessons learned from the EU's Eastern enlargement. She suggested that one lesson that tends to overshadow the others is that conditionality works, especially with an accession perspective. She argued that this shifts the focus away from reforms that could be supported and that would be crucial to implement the complex AAs, such as institution building for economic development or state capacity. Dimitrova concluded that many issues remain unresolved when it comes to matching domestic developmental needs in AA countries to the need to implement an all-encompassing set of EU rules.

Finally, Matthew Frear (LU) focused on the EU's alternative and complementary strategies, more precisely on perspectives from Belarus and Moldova on the recent '20 Deliverables for 2020' initiative. He argued that if the project is to succeed, the EU has to work with the incumbent authorities, but must engage with other actors (civil society, local and regional authorities) as well. He noted the EU had to be wary of deliverables being fulfilled in a manner that still limited citizens' access to politics or business and instead merely benefited the supporters of the incumbent regimes. Margarita Balmaceda (Seton Hall and Harvard Ukrainian Research Institute) praised the panellists for highlighting how decisions and choices made by the EU could work in some cases, but not in others, and suggested that some EU thinking could be better matched to recent developments. She further argued that reforms require political will on both sides.

#### **EU-STRAT FINAL CONFERENCE**

# Roundtable: The future possibilities of EU-EaP cooperation and challenges for policy makers and researchers

By Matt Frear and Nina Onopriychuk (Leiden University)

The conference culminated with a roundtable on the future possibilities for EU–EaP cooperation. Elyssa Shea (FUB) began by explaining the approach taken by EU-STRAT to develop scenarios for EaP countries. Natallia Rabava (SYMPA) outlined two pessimistic scenarios for Belarus. These were a status quo scenario that might involve some economic reforms, but would not change the ruling system overall, and a scenario of stronger closure, in which resources are further restricted. Kamil Całus (OSW) did not see opening or the status quo as possible options for Moldova. Instead, the scenarios foresaw either political or economic closure for Moldova due



to a range of ongoing trends that the EU was largely unable to counteract. Klaudijus Maniokas (ESTEP) offered two divergent scenarios for Ukraine. One would see gradual opening of the domestic social order, while the other would see a gradual closure.

Once the discussion was opened, Iryna Solonenko (European University Viadrina and Deutsche Gesellschaft für Auswärtige Politik) mentioned that it is important for the EU to develop the capacity to react swiftly on the ground, and that this could have an effect in terms of facilitating opening or preventing closure in EaP countries. Wojciech Konończuk (OSW) stressed that the EU should be more openly critical of domestic elites that were pro-European but corrupt. Johanneke de Hoogh, the Special Representative for the Eastern Partnership of the Ministry of Foreign Affairs of the Netherlands stated that EU member states engaged with EaP partners to varying degrees and they had different positions on how to pursue these relations. She suggested that the EaP agenda will need a new political narrative, but finding common ground between the 34 countries of the EU and EaP remains a challenge.

### **Unpacking social orders in Eastern Partnership countries**

By Tanja A. Börzel (Freie Universität Berlin, FUB) and Antoaneta Dimitrova (Leiden University, UL)

In WP2, the leading team at FUB together with all the teams and scholars of the project set out to further develop and apply the broad theoretical framework by North et al. to the EaP countries. The framework was subsequently used to explain the dynamics of political, economic and societal processes in the region. Developing new insights on political and economic orders in the region, founded on theory guided research, was an important contribution of this work package to the whole project. Building on extensive literature reviews, new frameworks, typologies and conceptualizations were developed for different types of regimes based on differential access to economic and political resources and – separately – for components of state capacity.

The FUB team incorporated insights from research on political and economic regime typologies and dynamics into the approach of North et al. to overcome the frequent separation of the study of political and economic institutions. They developed a measurement of political and economic access, and subsequently applied it to EaP countries. They demonstrated that countries of the EaP differ substantially in the way they restrict access to political and economic resources and showed that four distinct types of orders emerge in that respect. This work allowed for some theorizing about the changes needed to move countries associated with each type of order toward more openness or closure. Building on this and further EU-STRAT work, specific policy recommendations for the EU were developed, suggesting differentiated ways of how to deal with EaP countries that belong to different types of social orders.

Using the same approach of creating a new framework and set of indicators based on a broad literature review, the UL team developed an assessment tool of state capacity in a post-communist context, which was then used to evaluate state capacity in Belarus and Ukraine. The comparison was based on extensive empirical work by the teams in Belarus and Ukraine and led to broad insights on the relationship between regime stability and state capacity, proposing that it could be

divided into two broad aspects: universalizing and stabilizing. The former (e.g. capacity to administer) – implying universal, impartial and impersonal procedures and rules – has the potential to support change towards a more open access order, while the latter (e.g. capacity to deliver basic goods and services) has a stabilizing effect, for closed as well as open access orders. During a policy briefing in Minsk in January 2019, the results were discussed with civil servants, NGOs and representatives of the European Commission delegation in Minsk. All stakeholders were interested in the new assessment model, while the discussion with NGOs provided further insights on aspects of state capacity.

Going further in depth, the work package mapped networks between politicians, officials and entrepreneurs in three regions of Ukraine. Zooming in to the regional level provided some understanding of the dynamics of existing networks and their different shape in different settings: hierarchical and multiple networks were found. These different networks present a clear picture of limited or partly open orders at the regional level that might be related, if not directly, to citizen satisfaction with public service provision, which differs considerably in the three investigated regions.

Last, but not least, Vilnius University, ESTEP, IDIS, FUB and UL worked on different scenarios for the future political and economic developments in the EaP countries, with a view to what tools the EU could apply to promote opening or avoid further closure. The scenarios that emerged remained true to the project's inside-out approach and highlighted worrying trends in Moldova, stagnation in Belarus and some potential for opening in Ukraine. Ultimately, we concluded that despite concerning trends overall and the EU's own constraints in moving further in relations with the region, the tools currently at the EU's disposal can be applied in a robust and targeted manner to avoid negative developments, especially where power asymmetries are providing an advantage.

#### **EU-STRAT KEY FINDINGS**

# Bilateral, regional and global interdependencies and regime (in) stability in the EaP countries

By Ildar Gazizullin (Ukrainian Institute for Public Policy)

WP3 provided a comparative analysis of bilateral, regional, and global interdependencies across key areas such as trade, energy, migration and security, amongst the EaP countries. Knowledge of the implications of such (inter)dependencies helps identify what incentives domestic elites and societies face, on the one hand, and the role that the European Union (EU) and other external actors play in promoting or impairing change, on the other hand. The information on the extent and significance of interdependencies has been made accessible in a database available at http://eu-strat.eu/?page\_id=1411.

Our research found that the formal and informal practices and vested interests of the local elites often end up as key explanatory factors for varied domestic responses to interdependencies with the EU or Russia. Russia is agile in exploiting existing interdependencies with EaP countries and applying issue-linkage strategies. For example, security has emerged as Russia's preferred leverage and has repeatedly been used in connection to energy or trade. Security interdependencies with Russia have only expanded since the independence of the EaP countries.

By contrast, we found that the EU has made limited (if any) use of issue-linkage strategies. Instead, the EU relies upon sector-specific conditionality (e.g. macro-financial assistance in return for anticorruption reforms). Yet, the EU's ability to offer incentives and rewards for compliance with its targets often evolves in conjunction with Russia's strategies and the EaP elites' determination to change their policies. Furthermore, it contrasts with Russia's governance approach to the region, which is premised on the use of non-transparent schemes, allowing significant discretion. In order for the EaP countries to decrease their sensitivity to Russia's policies, the EU has to offer not only ruledense regimes, providing extensive regulatory and legislative alignment, but also policy alternatives. These EU alternatives need to address the extent to which EaP countries feel costly effects due to changes in Russia's policies, such as in the energy

sector.

An analysis we performed on four cases of interdependencies (Moldova-Ukraine, Ukraine-Belarus, Belarus-China, and Azerbaijan-Turkey) showed that relationships between EaP countries are often weak: there are hardly any significant trade, energy, migration flows and security interactions that tie the EaP countries together. And the links that do exist tend to be determined by the local elites, who seek to maximize their own benefits and/or hedge their risks. Furthermore, the legacy of the Soviet economic system continues to undermine countries' efforts in addressing such risks effectively. Therefore, the nature of partnerships between the EaP countries, but also those held with Turkey or China, are ad hoc and do not seem to be sustainable.

Four mechanisms linking different types of domestic social orders and interdependences were also identified in the EaP countries: patronage (by the neighbouring country/elite), crisis support (such as loans), (economic) sanctions, and elite-learning (imitating practices of the neighbouring elites). The effect of each of these linkage mechanisms on regime (in) stability varies, depending on the initial degree of political and economic access and the type of interdependencies.

Overall, dependence on Russia remains significant for all EaP countries. A number of factors aggravate this dependence, such as weak formal regimes, the personalization of dependence, weak integration in international regimes, and the securitization of interdependence. Given such context, the EU needs to better diagnose stakeholder preferences, while keeping the emphasis on good governance and civil society participation in the policy process. EaP countries continue to require more responsive assistance with the costs of policy implementation and should be supported in accessing rule-based, predictable international regimes, such as the World Trade Organization dispute settlement process.

# The approaches and strategies of the EU and other external actors

By Ramūnas Vilpišauskas (Vilnius University)

The European Union (EU)'s EaP policy targets a group of countries that interact with other external actors, such as Russia, the United States (US), China, Turkey, NATO, or International Financial Institutions (IFIs). Our research has contributed to enhancing the understanding of how the EU interacts with these actors in the EaP countries as well as how domestic actors within EaP countries react to external influences in terms of their incentives to transform their political and economic institutions towards greater openness.

We found that the various EaP countries and processes within them occupy different strategic importance for each external actor. Based on a conceptual framework we developed to analyse the strategies of external actors, we were able to put these actors into three groups: those that support transformation, those that support the maintenance of societies with limited political and economic access, and those that appear ambivalent towards transformation. Western actors like the EU, the US, NATO, and IFIs support reform measures for transition in EaP countries, at times coordinating their approaches. Despite fears that the Trump presidency might alter that shape of the US democracy promotion in the Eastern neighbourhood, our research found that no major shifts in strategy have occurred thus far as compared to the prior administration. In the second grouping, Russia supports the perpetuation of limited access societies in the EaP through its efforts to promote regime stability and maintenance of support for ruling elites responsive to its demands. Meanwhile, China was characterized as more ambivalent about transition reforms than expected. On the one hand, by prioritizing business-like relations with ruling elites, it indirectly contributes to regime stability. On the other, China's activity in the region may also contribute to general opening of regional economies and promote a more competitive regulatory environment. Our findings supported that Turkey is also an ambivalent player, in that it promotes both openness and closure of regimes. Despite moving towards authoritarianism domestically, some Turkish actors have promoted values and practices of competitive openness in the EaP region.

Our research also sought to assess whether, to what extent, and under what conditions, external actors can influence domestic processes in EaP countries. We found that the domestic structures of dominant coalitions and patterns of interdependencies with external actors differ in Belarus and Ukraine, resulting in different levels of influence of interdependencies on domestic coalitions. In Belarus, dominant elites around the president prefer to cooperate with external actors that do not affect the stability of the regime or that contribute to preservation of 'the social contract' between ruling elites and population. Cooperation with the EU is pragmatic but limited to technical issues, while personalized and informal relations with Russian authorities as well as interdependence with Russia increases the susceptibility of domestic elites to its influence, at least in the short-term. In Ukraine, the effectiveness of reforms proposed by Western actors has depended on the state of the Ukrainian economy and the intensity of the Donbass conflict: the deeper the economic crisis and more intense military activities in Donbass, the greater the Ukrainian elite's susceptibility to carrying out reforms. The West's and the EU's depletion of positive conditionality was another contributing factor. Finally, we found that the effectiveness of reforms in specific sectors depended on the presence of domestic actors partnering with Western external actors. Strong interest groups that opposed reforms in specific sectors may have had a negative influence on the reform implementation process.

# Soft power, discourses and their reception: The EU and Russia compared

By Honorata Mazepus (Leiden University)

Nowadays the European Union (EU)'s communications towards neighbouring states do not land in a neutral context, but in a contested and turbulent regional and international environment in which new ways of spreading (mis-)information via TV channels, social media and paid contributors ('trolls') combine with old-style propaganda aiming to mislead and distort actual news. The EU's soft power in its neighbourhood is challenged by Russia's policy towards neighbouring countries, its communication strategy and the spread of disinformation in Europe and further abroad. Our project delivered several important insights concerning the EU's portrayal in Belarus, Moldova, and Ukraine and citizens' perceptions of both the EU and Russia as important external actors. Our findings show that the EU's recent strategy of differentiating its approach towards individual Eastern Partnership (EaP) countries is reflected well in its official communications. However, the analysis of the style and format of local messages and citizen responses to the way the EU communicates at present suggests that these communications can be further enhanced by making them more personal and targeting specific benefits of EU assistance for citizens and groups. Following the evening news in Belarus, Moldova and Ukraine for four months in 2017, we gathered unique data about how the EU is represented on major domestic TV news channels. Our analysis of the news showed several clear trends. First, the EU as a whole is mentioned much less frequently than its individual member states. Nevertheless, the number of all mentions of the EU and EU member states is higher than the number of mentions of Russia in the news items we analysed. Second, the mix of themes in the context of which the EU and

member states are discussed is different in each

country. In Belarus, the EU received much less

coverage linking it to the economy than Russia did.

In Moldova, the EU and its member states were

more frequently present in the economic news than Russia. The Moldovan news emphasized the EU's role in the country's economic and political reforms. In Ukraine, the EU member states were mentioned most frequently in news items discussing security. EU member states were also frequently mentioned in news items presenting international events and agreements in which the Union plays a role. Third, the news about the EU provides prevailingly general information about international meetings and events.

Complementing these analyses, we used survey experiments to study whether particular frames about international cooperation can influence citizens' preferences for cooperation with the EU and Russia. We found that frames about international cooperation that are general and not personalized or emotional—typical frames found both in the EC Delegations' communications and in the national news-have only very limited potential to directly influence people's support for cooperation with the EU. Moreover, we found that beliefs about the effects of cooperation have the strongest and most consistent associations with support for the EU or Russia. Those who believe that the EU brings economic benefits or contributes to the security and good governance of their country, support cooperation with the EU

Our main conclusions were that for the EU to increase its soft power through communications, its messages need to focus on the tangible benefits of cooperation with the EU for the citizens in the EaP countries in the spheres of economy, security and governance. Also, the frames used to present cooperation should be more personalized, emotional, and contain human interest stories. Finally, to enhance the visibility of the EU in the EaP countries, the messages need to capitalize on the interest of the news outlets in stories about the member states.

### **EU-STRAT KEY FINDINGS**

### $\mathbf{X}\mathbf{X}\mathbf{X}\mathbf{X}$

By xxxx

XXXX

#### **EU-STRAT KEY FINDINGS**

### **Scientific cooperation**

By Ina Ramasheuskaya (The School of Young Managers in Public Administration, Minsk)

In WP7, we focused on the impact of international scientific cooperation on the EaP countries. To do this, we took stock of the EU's existing programmes of scientific cooperation and investigated their effects on Moldova, Belarus and Ukraine. We analyzed the changes in the overall size of the scientific output in all three EaP countries, compared the share of publications that have received funding from various countries, programmes, and agencies, and looked into the co-authorship networks and thematic distribution of publications. Altogether, the analysis concluded that international collaboration has provided a lifeline to science in the EaP region after 2000.

We also interviewed scholars from EU member states and EaP countries on the impact of EUsupported cooperation programmes on scientific communities in Belarus, Moldova, and Ukraine as well as on wider society and public policies. On the one hand, the interviewees from the EaP countries were overall quite positive about the cooperation and indicated that the collaboration did have a positive impact on their institutions in terms of access to funding, participation in networks, advancement in research methodology, opportunities for the mobility of researchers, as well as some transfer of technologies and (administrative) know-how. The interviewees from the EU were also positive about the cooperation and noted the expertise of the EaP partners despite limited resources and capacity. However, they also indicated that continued cooperation with only select institutions may create 'islands of excellence, which could exclude other institutions with lesser experience. On the other hand, the broader impact on the society and policy-making was less visible and the interviewees struggled with providing concrete examples. Still, witnessing the broader impact of scientific projects, including collaborative ones, might be a matter of time.

Our subsequent research developed theoretical ideas about the ways in which the ruling elites of LAOs might constrain science policy and scientific cooperation. These ideas were explored empirically on the basis of sets of interviews with scientists and policy experts in Belarus, Moldova, and Ukraine. The results of the analysis show that LAOs have difficulties in committing focus and resources to scientific development. While they tolerate and even seek international cooperation in order to compensate for weak internal capacity for innovation, they also try to limit the broader impact of international scientific cooperation and control the mobility and independence of researchers, especially in LAOs that remain closed in the political domain.

Finally, the WP7 team evaluated the policy options for the further development of these programmes given the results of the impact assessment. Based on previous research, we concluded that the EU should continue to offer opportunities for the EaP countries to participate in its programmes for scientific cooperation and exchange. It should pay particular attention to the increased participation of institutions from the EaP countries in scientific cooperation in the social sciences in order to boost the broader societal impact of cooperation. The EU should also make efforts to ensure that the participation of the EaP countries is not limited to a small number of select institutes. Attention should be paid to the inclusion of university departments in scientific cooperation programmes, rather than limiting to institutes of the national academies of sciences. It is also important that participating institutions from EaP countries are integrated into the research process when taking part in project consortia. Finally, the EU should consider how to assist the dissemination of relevant research findings to the policy-making authorities and to the general public in the EaP countries, especially in the social sciences.

### POLICY COMMENT

### Change of power in Moldova: A surprising alliance

By Kamil Calus (Centre for Eastern Studies, OSW)

XXX